

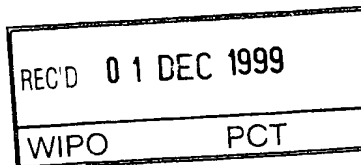


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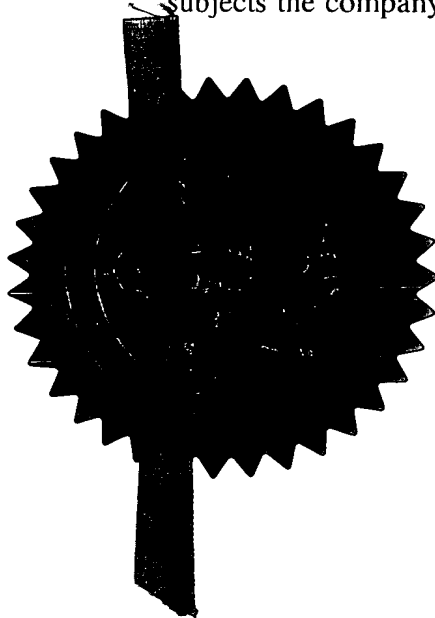
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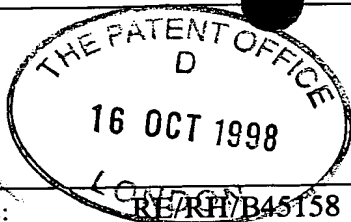
*R. Mahoney*

Signed

Dated 9 September 1999

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19OCT98 E398197-2 D02029  
P01/7700 0.00 - 9822709.3

Your Reference:

RE/RI/B45158

16 OCT 1998

9822709.3

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The Patent Office Request for grant of a Patent Form 1/77 Patents Act 1977

① Title of invention

1 Please give the title of the invention Vaccine

② Applicant's details  
☐ First or only applicant

2a If you are applying as a corporate body please give:  
Corporate Name SmithKline Beecham Biologicals s.a.

Country (and State of incorporation, if appropriate) Belgium

2b If you are applying as an individual or one of a partnership please give in full:

Surname  
Forenames

2c In all cases, please give the following details:

Address: Rue de l'Institut 89  
B-1330 Rixensart  
Belgium

UK postcode  
(if applicable)

Country Belgium  
ADP number 5808974002  
(if known) 578117001

2d, and 2f: If there are further applicants please provide details on a separate sheet of paper

### Second applicant (if any)

2d

If you are applying as a corporate body please give:

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3

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3a

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No ☐ go to 3b



please give details below

Agent's name      MARCUS J W DALTON

Agent's address      SmithKline Beecham  
Corporate Intellectual Property  
Two New Horizons Ccourt  
Brentford, Middlesex

Postcode      TW8 9EP

Agent's ADP  
number

5800976002

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4. Agent's or  
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number (if applicable) RE/RH/B45158

5. Are you claiming that this application be treated as having been filed on the date of filing of an earlier application?

No   go to 6



***please give details below***

☐ number of earlier application or patent number

☐ filing date (day month year)

☐ and the Section of the Patents Act 1977 under which you are claiming:

15(4) (Divisional) ☐      8(3) ☐      12(6) ☐      37(4) ☐

6. If you are declaring priority from previous application(s), please give:

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7

- any applicant is not an inventor
- there is an inventor who is not
- an applicant, or
- any applicant is a corporate body.

- 8 Please supply duplicates of claim(s), abstract, description and drawings).

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- 9 You or your appointed agent (see Rule 90 of the Patents Rules 1990) must sign this request.

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## 7 Inventorship

7. Are you (the applicant or applicants) the sole inventor or the joint inventors?

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## 8 Checklist

8a Please fill in the number of sheets for each of the following types of document contained in this application

Continuation sheets for this Patents Form 1/77

Claim(s) 3

Description 20

Abstract

Drawing(s) 4

8b Which of the following documents also accompanies the application?

Priority documents (please state how many)

Translation(s) of Priority documents (please state how many)

Patents Form 7/77 - Statement of Inventorship and Right to Grant

Patents Form 9/77 - Preliminary Examination Report

Patents Form 10/77 - Request for Substantive Examination

## 9 Request

I/We request the grant of a patent on the basis of this application.

Signed

MARCUS J W DALTON

(year)

Chartered Patent Attorney  
Attorney for the Applicant

Date: 16/10/98

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## Vaccines

The present invention relates to improved vaccines, adjuvant systems, and processes for the preparation of such vaccines and adjuvant systems. In particular, the  
5 vaccines and adjuvant systems of the present invention comprise metallic salts and additional immunostimulants such as monophosphoryl lipid A, or derivatives thereof, Quil A or derivatives thereof, or immunostimulatory oligonucleotides such as CpG.

10 Aluminium salts are well known in the art as providing a safe excipient with adjuvant activity. The mechanism of action of these adjuvants are thought to include the formation of an antigen depot such that antigen may stay at the site of injection for up to 3 weeks after administration, and also the formation of antigen/metallic salt complexes which are more easily taken up by antigen presenting cells. In  
15 addition to aluminium, other metallic salts have been used to adsorb antigens, including salts of zinc, calcium, cerium, chromium, iron, and beryllium. The hydroxide and phosphate salts of aluminium are the most common.

Vaccine formulations containing aluminium salts, antigen, and additional  
20 immunostimulant are known in the art. Such formulations induced greater immune responses in comparison with those stimulated by aluminium salts and antigen alone. Formulation of these vaccine preparations have previously involved a specific manufacturing procedure, since it was believed that in order for optimal immune responses to occur, the antigen must be adsorbed onto the same aluminium salt  
25 particle as the immunostimulant. In this way when antigen is taken up by an antigen presenting cell, the co-adsorbed immunostimulant exerts its stimulatory activity directly onto that same antigen presenting cell.

Aluminium based vaccine formulations wherein the antigen and the  
30 immunostimulant 3-de-O-acylated monophosphoryl lipid A (3D-MPL), are adsorbed onto the same particle are described in EP 0 576 478 B1, EP 0 689 454 B1, and EP 0 633 784 B1. In these cases then antigen is first adsorbed onto the aluminium salt

followed by the adsorption of the immunostimulant 3D-MPL onto the same aluminium salt particles. Such processes first involve the suspension of 3D-MPL by sonication in a water bath until the particles reach a size of between 80 and 500 nm. The antigen is typically adsorbed onto aluminium salt for one hour at room  
5 temperature under agitation. The 3D-MPL suspension is then added to the adsorbed antigen and the formulation is incubated at room temperature for 1 hour, and then kept at 4°C until use.

The formulation processes of the prior art provide for potent vaccines from an  
10 immunological point of view, however, they do contain several commercial disadvantages. In order for a vaccine to be suitable for human administration, the process must be uniform and be subject to Good Manufacturing Practice (GMP) control, and and Quality Control (QC). In some cases the processes of the prior art provide a vaccine wherein all of the antigen, or antigens, are adsorbed onto the  
15 same particle of metallic salt. The process is then complicated by the requirement for the 3D-MPL to be adsorbed onto the same metallic particle. This may be particularly problematical in the case of combination vaccines containing multiple antigens (whose adsorption may be dependent on the affinity of each antigen to the particular metallic salt at a given pH). The processes of the prior art have problems,  
20 depending on which antigens are present, in reproducibility and vaccine QC. Furthermore, if anything undesired occurs with the QC of one particular antigen, or an occurrence which may result in the contamination of the vaccine, this may result in the waste all of the individual components, and not just the particular antigen in which the problem occurred. Moreover, in some circumstances combination  
25 vaccines may require the sequential addition of the antigens, such a process being hugely time consuming and expensive. The processes of the prior art may, therefore, be complex, difficult to control, and expensive.

Surprisingly, the present inventors have discovered that it is not necessary to adsorb  
30 antigen and the immunostimulant onto the same particle. In contrast to the accepted thinking in the art, it has been found that good vaccines may be produced when



antigen is adsorbed onto particular metallic salt particles which are discrete from those metallic salt particles which are associated with the immunostimulant.

5 The improved process comprises the adsorption of immunostimulant, onto a metallic salt particle, followed by the adsorption of the antigen onto another metallic salt particle, followed by the mixing of the discrete metallic particles to form a vaccine.

The present invention also provides for an adjuvant composition an immunostimulant, adsorbed onto a metallic salt particle, characterised in that the metallic salt particle is substantially free of other antigen. Furthermore, vaccines are  
10 provided by the present invention and are characterised in that the immunostimulant is adsorbed onto particles of metallic salt which are substantially free from other antigen, and in that the particles of metallic salt which are adsorbed to the antigen are substantially free of other immunostimulant.

15 Accordingly, the present invention provides an adjuvant formulation comprising immunostimulant which has been adsorbed onto a particle of a metallic salt, characterised in the composition is substantially free of other antigen. Moreover, this adjuvant formulation is an intermediate which is required during the process of the present invention, for the manufacture of a vaccine. Accordingly there is  
20 provided a process for the manufacture of a vaccine comprising admixing the adjuvant composition of the invention with an antigen. Preferably, the antigen has been pre-adsorbed onto a metallic salt. Said metallic salt may be identical or similar to the metallic salt which is adsorbed onto the immunostimulant.

25 The present invention further provides for a vaccine composition comprising immunostimulant adsorbed onto a first particle of a metallic salt, and antigen adsorbed onto a metallic salt, characterised in that first and second particles of metallic salt are different.

30 Alternatively, vaccines which form part of the present invention comprise two major populations of complexes, a first complex comprising (a) immunostimulant adsorbed onto a metallic salt particle, characterised in that said metallic salt particle is

substantially free of antigen; and a second complex comprising (b) antigen adsorbed onto a metallic salt particle. Also the vaccine composition can comprising two major populations of complexes, a first complex comprising (a) immunostimulant adsorbed onto a metallic salt particle, characterising in that said metallic salt particle is

5 substantially free of antigen; and a second complex comprising (b) antigen adsorbed onto a metallic salt particle, characterised in that said metallic salt particle is substantially free of immunostimulant.

The metallic salts present in these two major populations of complexes may be

10 identical or different. Furthermore, in the case of a combination vaccine, wherein a plurality of different antigens may be present, the second complex (described above) may comprise a plurality of antigens adsorbed onto different metallic particles.

The definition of substantially free, in relation to this invention, is where not more

15 than 20% by mass of the total material capable of adsorbing to the particle of metallic salt is an other antigen, preferably not more than 10%, and most preferably not more than 5%. Alternatively, the substantially free, in relation to this invention, is where not more than 20% by mass of the total material capable of adsorbing to the particle of metallic salt is immunostimulant, preferably not more than 10%, and

20 most preferably not more than 5%. Routine assays could be used to determine whether the antigen and immunostimulant, are adsorbed onto different discrete particles, including separation of the vaccine into distinct fractions by free flow of the formulation within an electric field, or techniques such as sedimentation rate analysis which are particularly suited to non-particulate antigens, followed by

25 assaying for the immunostimulant or antigen in the fractions.

Also provided in the present invention is a kit comprising one container having immunostimulant adsorbed onto a metallic salt; and a second container having antigen, preferably said antigen being adsorbed onto a metallic salt.

30

The process of the present invention is especially useful when commercial scale quantities of combination vaccines are required. Combination vaccines are single

dose vaccines which contain more than one antigen from more than one pathogen. Such vaccines may reduce the number of vaccinations required to induce protection against many pathogens and diseases.

- 5 For example, if a vaccine comprises  $\text{AlOH}_3$ , 3D-MPL, and the antigens V, W, X, Y, Z, previous processes involve formulating the antigens and the 3D-MPL onto the same particle of  $\text{AlOH}_3$ . Such prior art processes require that V, W, X, Y, Z are adsorbed onto the  $\text{AlOH}_3$ , followed by the addition of free 3D-MPL onto each of the pre-adsorbed antigen complexes.

10

- In contrast, the formulation process of the present invention antigens V, W, X, Y, Z are each individually adsorbed onto separate particles of  $\text{AlOH}_3$  in separate containers. 3D-MPL is also adsorbed onto  $\text{AlOH}_3$  in another container. The vaccine is then formed by the simple admixing of material taken from each of the separate  
15 containers. In this case the particles of  $\text{AlOH}_3$  which is associated with the 3D-MPL are discrete from the particles of  $\text{AlOH}_3$  which are associated with the antigens.

- Alternatively, the present invention provides a process of making a vaccine  
20 comprising an immunostimulant, antigen and a metallic salt, comprising:

1. Adsorbing antigen to a first particle of metallic salt,
2. Adsorbing the immunostimulant to a second particle of a metallic salt, and
3. admixing the products of steps 1 and 2 above.

- 25 The present invention provides for a process for the manufacture of vaccines which overcome the problems present in the prior art. Each individual antigen-metallic salt complex may be subject to GMP controls, and should any untoward contamination of a particular antigen-metallic salt preparation then the integrity of other antigens and immunostimulant adjuvant will not be compromised. Surprisingly, and in  
30 contrast to the accepted thinking in the art, vaccines produced by the process of the present invention are as potent as those prepared using the process of the prior art.

The definition of immunostimulant within the meaning of this invention can be described as a natural or synthetic compound which has known adjuvant activity, which adjuvant activity derives from the direct or indirect stimulatory effect of the compound upon the cells of the immune system itself and not through other non-stimulatory effects such as a depot effect or targetting to the immune system. Examples of such immunostimulants are described in a chapter in "Vaccine Design - the subunit and adjuvant approach" (Edited by Powell, M.F. and Newman, M.J.; 1995, Pharmaceutical Biotechnology (Plenum Press, New York and London, ISBN 0-306-44867-X) entitled "Compendium of vaccine adjuvants and excipients" by Powell, M.F. and Newman M.. These immunostimulants include which are within the present invention include: bacterially derived compounds, like Monophosphoryl lipid A or derivatives thereof; plant derived saponins or derivatives thereof, for example Quil A; or immunostimulatory oligonucleotides such as CpG, block copolymers, cholera toxin, immunostimulatory cytokines such as GM-CSF and IL-1, polyriboA and polyriboU, and Muramyl tripeptide (MTP).

Monophosphoryl lipid A is a bacterially derived compound with adjuvant activity, and is a preferred immunostimulant for use in the present invention. This toxic compound has been altered to form less toxic derivatives, one such derivative is 3 De-O-acylated monophosphoryl lipid A (termed 3D-MPL or d3-MPL, to indicate that position 3 of the reducing end glucosamine is de-O-acylated). For preparation of 3D-MPL, see GB 2 220 211 A. Chemically it is a mixture of 3-deacylated monophosphoryl lipid A with 4, 5 or 6 acylated chains. Preferably in the compositions of the present invention small particle MPL is used. Small particle MPL has a particle size such that it may be sterile-filtered through a 0.22µm filter. Such preparations are described in International Patent Application No. WO 94/21292. Further improvements are described in GB 9807933.8 which discloses stable preparations of 3D-MPL consisting of the tri and tetra acyl congeners.

GB 2 220 211A mentions that the endotoxicity of the previously used enterobacterial lipopolysaccharides (LPS) is reduced while the immunogenic properties are

conserved. However GB 2 220 211 cited these findings merely in connection with bacterial (Gram negative) systems.

Another preferred immunostimulant for use in the present invention is Quil A and its derivatives. Quil A is a saponin preparation isolated from the South American tree *Quilaja Saponaria Molina* and was first described by Dalsgaard *et al.* in 1974 ("Saponin adjuvants", Archiv. für die gesamte Virusforschung, Vol. 44, Springer Verlag, Berlin, p243-254) to have adjuvant activity. Purified fragments of Quil A have been isolated by HPLC which retain adjuvant activity without the toxicity associated with Quil A (EP 0 362 278), for example QS7 and QS21 (also known as QA7 and QA21). Particular formulations of QS21 have been described which are particularly preferred, these formulations further comprise a sterol (WO96/33739).

CpG is an immunostimulatory oligonucleotide with known adjuvant properties (WO 96/02555). The CpG sequences preferred within the context of this invention are: (TCC ATG AGC TTC CTG ACG TT, Krieg 1826) and (TCT CCC AGC GTG CGC CAT, Krieg 1758).

The present invention relates to the particular formulation process and characteristics of the adjuvant, and thus can be utilised with a wide variety of antigens. The vaccines of the present invention can be used for priming and boosting doses, and used for the induction of immune responses to, and protection from infection mediated by, a wide variety of antigens. Also the present invention provides for a method of eliciting an immune response to an antigen comprising the use of a vaccine comprising a metallic salt, immunostimulant, and antigen, wherein the immunostimulant is adsorbed onto particles of metallic salt which are discrete from those metallic salt particles which are adsorbed to the antigen. Some of the pathogens and antigens are listed below.

Viral hepatitis, caused by the A, B, C, D, and E hepatitis viruses, is a very common viral illness. Via the B and C viruses, in particular, it is also responsible for many cases of liver cancer. Thus the development of effective vaccines is critical and,

despite notable successes, is still an on-going task. A review on modern hepatitis vaccines, including a number of key references, may be found in the Lancet, May 12th 1990 at page 1142 ff (Prof A.L.W.F. Eddleston). See also 'Viral Hepatitis and Liver Disease' (Vyas, B.N., Dienstag, J.L., and Hoofnagle, J.H., eds, Grune and Stratton, Inc. (1984) and 'Viral Hepatitis and Liver Disease' (Proceedings of the 1990 International Symposium, eds F.B. Hollinger, S.M. Lemon and H. Margolis, published by Williams and Wilkins).

As used herein the expression 'hepatitis B antigen' is used to refer to any antigenic material derived from a hepatitis B virus which may be used to induce immunity to the virus in humans.

Infection with hepatitis B virus (HBV) is a widespread problem but vaccines which can be used for mass immunisation are now available, for example the product 'Engerix-B' (SmithKline Beecham plc) which is obtained by genetic engineering techniques.

The preparation of Hepatitis B surface antigen (HBsAg) is well documented. See, for example, Harford et al in Develop. Biol. Standard 54, page 125 (1983), Gregg et al in Biotechnology, 5, page 479 (1987), EP-A- 0 226 846, EP-A-0 299 108 and references therein.

As used herein the expression 'Hepatitis B surface antigen' or 'HBsAg' includes any HBsAg antigen or fragment thereof displaying the antigenicity of HBV surface antigen. It will be understood that in addition to the 226 amino acid sequence of the HBsAg S antigen (see Tiollais et al, Nature, 317, 489 (1985) and references therein) HBsAg as herein described may, if desired, contain all or part of a pre-S sequence as described in the above references and in EP-A- 0 278 940. In particular the HBsAg may comprise a polypeptide comprising an amino acid sequence comprising residues 12-52 followed by residues 133-145 followed by residues 175-400 of the L-protein of HBsAg relative to the open reading frame on a Hepatitis B virus of ad serotype (this polypeptide is referred to as L\*; see EP 0 414 374). HBsAg within

the scope of the invention may also include the preS1-preS2 -S polypeptide described in EP 0 198 474 (Endotronics) or analogues thereof such as those described in EP 0 304 578 (Mc Cormick and Jones). HBsAg as herein described can also refer to mutants, for example the 'escape mutant' described in WO 5 91/14703 or European Patent Application Publication Number 0 511 855 A1, especially HBsAg wherein the amino acid substitution at position 145 is to arginine from glycine.

10 Normally the HBsAg will be in particle form. The particles may comprise for example S protein alone or may be composite particles, for example (L\*,S) where L\* is as defined above and S denotes the S-protein of HBsAg. The said particle is advantageously in the form in which it is expressed in yeast.

15 The component affording protection against Hepatitis A is preferably the product known as 'Havrix' (SmithKline Beecham Biologicals) which is a killed attenuated vaccine derived from the HM-175 strain of HAV [see 'Inactivated Candidate Vaccines for Hepatitis A' by F.E. Andre, A. Hepburn and E.D'Hondt (1980), Prog. Med. Virol. Vol 37, pages 72-95 and the product monograph 'Havrix' published by SmithKline Beecham Biologicals (1991).

20 Thus, in a preferred embodiment of the present invention a combination vaccine comprising HBsAg and Hepatitis A antigen is provided. Also, provided by the present invention is a process for the production of a hepatitis A and B combination vaccine, and a product derived from that process.

25 Other combination vaccines are available on the market including the Infanrix™ range, made by SmithKline Beecham Biologicals. Such vaccines are based on a "core" combination of Diphtheria toxin, Tetanus toxin, and *B. pertussis* antigens. This vaccine comprises a pertussis component (either killed whole cell *B. pertussis* 30 or acellular *pertussis* which typically consists of two antigens - PT and FHA, and often 69kDa, optionally with one or both agglutinin2 or agglutinin 3). Such vaccines are often referred to as DTPw (whole cell) or DTPa (acellular).

Particular combination vaccines within the scope of the invention include:

- Diphtheria-Tetanus- Pertussis-Hepatitis B (DTP-HB)
- 5 Diphtheria-Tetanus-Hepatitis B (DT-HB)
- Hib-Hepatitis B
- DTP-Hib-Hepatitis B
- IPV (inactivated polio vaccine)- DTP-Hib-Hepatitis B
- 10 The pertussis component is suitably a whole cell pertussis vaccine or an acellular pertussis vaccine containing partially or highly purified antigens. The above combinations may optionally include a component which is protective against Hepatitis A. Preferably the Hepatitis A component is formalin HM-175 inactivated. Advantageously, the HM-175 is purified by treating the cultured HM-175 with
- 15 trypsin, separating the intact virus from small protease digested protein by permeation chromatography and inactivating with formalin. Advantageously the Hepatitis B combination vaccine is a paediatric vaccine.

- Other combination vaccines of the present invention are disclosed in GB 9805105.5
- 20 (SmithKline Beecham Biologicals s.a.), such combination vaccines being specially beneficial for vaccines for adolescents. Preferred combinations are based around a "core" combination of a Hepatitis B antigen (Hep B) and a Herpes Simplex (HSV) antigen. Optionally, to this "core" may be added one or more antigens derived from the following group: Epstein Barr Virus (EBV) antigen, Hepatitis A antigen (Hep A),
  - 25 Human Papilloma Virus (HPV) antigen. These combination vaccines may additionally comprise Varicella Zoster Virus (VZV), Human Cytomegalovirus (HCMV) or toxoplasma antigens.

- Preferably the vaccine formulations of the present invention contain an antigen or
- 30 antigenic composition capable of eliciting an immune response against a human pathogen, which antigen or antigenic composition is derived from HIV-1, (such as tat, nef, gp120 or gp160), human herpes viruses, such as gD or derivatives thereof



or Immediate Early protein such as ICP27 from HSV1 or HSV2, cytomegalovirus ((esp Human)(such as gB or derivatives thereof), Rotavirus (including live-attenuated viruses), Epstein Barr virus (such as gp350 or derivatives thereof), Varicella Zoster Virus (such as gpI, II and IE63), or from a hepatitis virus such as hepatitis B virus (for example Hepatitis B Surface antigen or a derivative thereof), hepatitis A virus, hepatitis C virus and hepatitis E virus, or from other viral pathogens, such as paramyxoviruses: Respiratory Syncytial virus (such as F and G proteins or derivatives thereof), parainfluenza virus, measles virus, mumps virus, human papilloma viruses (for example HPV6, 11, 16, and 18), flaviviruses (e.g. Yellow Fever Virus, Dengue Virus, Tick-borne encephalitis virus, Japanese Encephalitis Virus) or Influenza virus, or derived from bacterial pathogens such as *Neisseria spp*, including *N. gonorrhea* and *N. meningitidis* (for example capsular polysaccharides and conjugates thereof, transferrin-binding proteins, lactoferrin binding proteins, PilC, adhesins); *Streptococcus spp*, including *S. pneumoniae* (for example capsular polysaccharides and conjugates thereof, PsaA, PspA, streptolysin, choline-binding proteins), *S. pyogenes* (for example M proteins or fragments thereof, C5A protease, lipoteichoic acids), *S. agalactiae*, *S. mutans*; *Haemophilus spp*, including *H. influenzae type B* (for example PRP and conjugates thereof), *non typeable H. influenzae* (for example OMP26, high molecular weight adhesins, P5, P6, lipoprotein D), *H. ducreyi*; *Moraxella spp*, including *M. catarrhalis*, also known as *Branhamella catarrhalis* (for example high and low molecular weight adhesins and invasins); *Bordetella spp*, including *B. pertussis* (for example pertactin, pertussis toxin or derivatives thereof, filamentous hemagglutinin, adenylate cyclase, fimbriae), *B. parapertussis* and *B. bronchiseptica*; *Mycobacterium spp.*, including *M. tuberculosis* (for example ESAT6, Antigen 85A, -B or -C), *M. bovis*, *M. leprae*, *M. avium*, *M. paratuberculosis*, *M. smegmatis*; *Legionella spp*, including *L. pneumophila*; *Escherichia spp*, including enterotoxigenic *E. coli* (for example colonization factors, heat-labile toxin or derivatives thereof, heat-stable toxin or derivatives thereof), enterohemorrhagic *E. coli*, enteropathogenic *E. coli* (for example shiga toxin-like toxin or derivatives thereof); *Vibrio spp*, including *V. cholera* (for example cholera toxin or derivatives thereof); *Shigella spp*, including *S. sonnei*, *S. dysenteriae*, *S. flexnerii*; *Yersinia spp*, including *Y. enterocolitica* (for

- example a Yop protein) , *Y. pestis*, *Y. pseudotuberculosis*; *Campylobacter spp.*, including *C. jejuni* (for example toxins, adhesins and invasins) and *C. coli*; *Salmonella spp.*, including *S. typhi*, *S. paratyphi*, *S. choleraesuis*, *S. enteritidis*; *Listeria spp.*, including *L. monocytogenes*; *Helicobacter spp.*, including *H. pylori*
- 5 (for example urease, catalase, vacuolating toxin); *Pseudomonas spp.*, including *P. aeruginosa*; *Staphylococcus spp.*, including *S. aureus*, *S. epidermidis*; *Enterococcus spp.*, including *E. faecalis*, *E. faecium*; *Clostridium spp.*, including *C. tetani* (for example tetanus toxin and derivative thereof), *C. botulinum* (for example botulinum toxin and derivative thereof), *C. difficile* (for example clostridium toxins A or B and
- 10 derivatives thereof); *Bacillus spp.*, including *B. anthracis* (for example botulinum toxin and derivatives thereof); *Corynebacterium spp.*, including *C. diphtheriae* (for example diphtheria toxin and derivatives thereof); *Borrelia spp.*, including *B. burgdorferi* (for example OspA, OspC, DbpA, DbpB), *B. garinii* (for example OspA, OspC, DbpA, DbpB), *B. afzelii* (for example OspA, OspC, DbpA, DbpB),
- 15 *B. andersonii* (for example OspA, OspC, DbpA, DbpB), *B. hermsii*; *Ehrlichia spp.*, including *E. equi* and the agent of the Human Granulocytic Ehrlichiosis; *Rickettsia spp.*, including *R. rickettsii*; *Chlamydia spp.*, including *C. trachomatis* (for example MOMP, heparin-binding proteins), *C. pneumoniae* (for example MOMP, heparin-binding proteins), *C. psittaci*; *Leptospira spp.*, including *L. interrogans*; *Treponema*
- 20 *spp.*, including *T. pallidum* (for example the rare outer membrane proteins), *T. denticola*, *T. hyodysenteriae*; or derived from parasites such as *Plasmodium spp.*, including *P. falciparum*; *Toxoplasma spp.*, including *T. gondii* (for example SAG2, SAG3, Tg34); *Entamoeba spp.*, including *E. histolytica*; *Babesia spp.*, including *B. microti*; *Trypanosoma spp.*, including *T. cruzi*; *Giardia spp.*, including *G. lamblia*;
- 25 *Leshmania spp.*, including *L. major*; *Pneumocystis spp.*, including *P. carinii*; *Trichomonas spp.*, including *T. vaginalis*; *Schistosoma spp.*, including *S. mansoni*, or derived from yeast such as *Candida spp.*, including *C. albicans*; *Cryptococcus spp.*, including *C. neoformans*.
- 30 In one preferred aspect the vaccine formulation of the invention comprises the HIV-1 antigen, gp120, especially when expressed in CHO cells. In a further

embodiment, the vaccine formulation of the invention comprises gD2t as hereinabove defined.

In a preferred embodiment of the present invention vaccines containing the claimed  
5 adjuvant comprise the HPV viruses considered to be responsible for genital warts,  
(HPV 6 or HPV 11 and others), and the HPV viruses responsible for cervical cancer  
(HPV16, HPV18 and others). Particularly preferred forms of vaccine comprise L1  
particles or capsomers, and fusion proteins comprising one or more antigens  
selected from the HPV 6 and HPV 11 proteins E6, E7, L1, and L2. The most  
10 preferred forms of fusion protein are: L2E7 as disclosed in GB 95 15478.7, and  
proteinD(1/3)-E7 disclosed in GB 9717953.5.

Vaccines of the present invention further comprise antigens derived from parasites  
that cause Malaria. For example, preferred antigens from *Plasmodia falciparum*  
15 include RTS,S and TRAP. RTS is a hybrid protein comprising substantially all the  
C-terminal portion of the circumsporozoite (CS) protein of *P.falciparum* linked via  
four amino acids of the preS2 portion of Hepatitis B surface antigen to the surface  
(S) antigen of hepatitis B virus. Its full structure is disclosed in the International  
Patent Application No. PCT/EP92/02591, published under Number WO 93/10152  
20 claiming priority from UK patent application No.9124390.7. When expressed in  
yeast RTS is produced as a lipoprotein particle, and when it is co-expressed with the  
S antigen from HBV it produces a mixed particle known as RTS,S. TRAP antigens  
are described in the International Patent Application No. PCT/GB89/00895,  
published under WO 90/01496. A preferred embodiment of the present invention is  
25 a Malaria vaccine wherein the antigenic preparation comprises a combination of the  
RTS,S and TRAP antigens. Other plasmodia antigens that are likely candidates to be  
components of a multistage Malaria vaccine are *P. faciparum* MSP1, AMA1,  
MSP3, EBA, GLURP, RAP1, RAP2, Sequestrin, PfEMP1, Pf332, LSA1, LSA3,  
STARP, SALSA, PfEXP1, Pfs25, Pfs28, PFS27/25, Pfs16, Pfs48/45, Pfs230 and  
30 their analogues in Plasmodium spp.

The formulations may also contain an anti-tumour antigen and be useful for the immunotherapeutic treatment cancers. For example, the adjuvant formulation finds utility with tumour rejection antigens such as those for prostate, breast, colorectal, lung, pancreatic, renal or melanoma cancers. Exemplary antigens include MAGE 1 and MAGE 3 or other MAGE antigens for the treatment of melanoma, PRAME, BAGE or GAGE (Robbins and Kawakami, 1996, Current Opinions in Immunology 8, pps 628-636; Van den Eynde et al., International Journal of Clinical & Laboratory Research (submitted 1997); Correale et al. (1997), Journal of the National Cancer Institute 89, p293. Indeed these antigens are expressed in a wide range of tumour types such as melanoma, lung carcinoma, sarcoma and bladder carcinoma. Other Tumor-Specific antigens are suitable for use with adjuvant of the present invention and include, but are not restricted to Prostate specific antigen (PSA) or Her-2/neu, KSA (GA733), MUC-1 and carcinoembryonic antigen (CEA). Other antigens have been put forward as being pan-cancer therapeutic antigens including Tyrosinase and Survivin. Accordingly in one aspect of the present invention there is provided a vaccine comprising an adjuvant composition according to the invention and a tumour rejection antigen.

It is foreseen that compositions of the present invention will be used to formulate vaccines containing antigens derived from *Borrelia sp.*. For example, antigens may include nucleic acid, pathogen derived antigen or antigenic preparations, recombinantly produced protein or peptides, and chimeric fusion proteins. In particular the antigen is OspA. The OspA may be a full mature protein in a lipidated form virtue of the host cell (E.Coli) termed (Lipo-OspA) or a non-lipidated derivative. Such non-lipidated derivatives include the non-lipidated NS1-OspA fusion protein which has the first 81 N-terminal amino acids of the non-structural protein (NS1) of the influenza virus, and the complete OspA protein, and another, MDP-OspA is a non-lipidated form of OspA carrying 3 additional N-terminal amino acids.

Vaccines of the present invention may be used for the prophylaxis or therapy of allergy. Such vaccines would comprise allergen specific (for example Der p1, and

pollen related antigens) and allergen non-specific antigens (for example the stanworth decapeptide).

5 The amount of antigen in each vaccine dose is selected as an amount which induces an immunoprotective response without significant, adverse side effects in typical vaccinees. Such amount will vary depending upon which specific immunogen is employed and how it is presented. Generally, it is expected that each dose will comprise 1-1000  $\mu\text{g}$  of antigen, preferably 1-500  $\mu\text{g}$ , preferably 1-100 $\mu\text{g}$ , most preferably 1 to 50 $\mu\text{g}$ . An optimal amount for a particular vaccine can be  
10 ascertained by standard studies involving observation of appropriate immune responses in subjects. Following an initial vaccination, subjects may receive one or several booster immunisation adequately spaced. Typically for human administration the immunostimulant will be present in the range 1  $\mu\text{g}$  - 1000  $\mu\text{g}$ , preferably 10  $\mu\text{g}$  - 500  $\mu\text{g}$ , more preferably 20-200  $\mu\text{g}$  per dose, more preferably 20-100 $\mu\text{g}$  per dose,  
15 and most preferably 10-50  $\mu\text{g}$  per dose.

The present invention further provides for the adjuvants and vaccines of the present invention for use in medicine, specifically as a method of treating a mammal suffering from or susceptible to a pathogenic infection, or cancer, or allergy. Also  
20 provided for is the use of the adjuvants and vaccines of the present invention in the manufacture of a immunoprophylactic and immunotherapeutic treatment of viral, bacterial, parasitic infections, allergy, or cancer. The formulations of the present invention maybe used for both prophylactic and therapeutic purposes.

25 Vaccine preparation is generally described in "Vaccine Design - the subunit and adjuvant approach" Edited by Powell, M.F. and Newman, M.J.; 1995, Pharmaceutical Biotechnology (Plenum Press, New York and London, ISBN 0-306-44867-X)

30 The present invention is illustrated by, but not restricted to, the following examples.

## Example 1, Materials and Methods

### *Serology*

- 5 Quantification of anti-HBs antibody was performed by ELISA using HBs (Hep 286) as coating antigen. Antigen and antibody solutions were used at 50  $\mu$ l per well. Antigen was diluted at a final concentration of 1  $\mu$ g/ml in PBS and was adsorbed overnight at 4°C to the wells of 96 wells microtiter plates (Maxisorb Immuno-plate, Nunc, Denmark). The plates were then incubated for 1hr at 37°C with PBS
- 10 containing 1% bovine serum albumin and 0.1% TWEEN 20 (saturation buffer; 100 $\mu$ l/well). Two-fold dilutions of sera (starting at 1/100 dilution) in the saturation buffer were added to the HBs-coated plates and incubated for 1 hr 30 min at 37°C. The plates were washed four times with PBS 0.1% TWEEN 20 and biotin-
- 15 conjugated anti-mouse IgG1, IgG2a, IgG2b or Ig (Amersham, UK) diluted 1/1000 in saturation buffer was added to each well and incubated for 1 hr 30 min at 37°C. After a washing step, streptavidin-biotinylated peroxidase complex (Amersham, UK) diluted 1/5000 in saturation buffer was added for an additional 30 min at 37°C. Plates were washed as above and incubated for 20 min with a solution of o-
- 20 phenylenediamine (Sigma) 0.04% H<sub>2</sub>O<sub>2</sub> 0.03% in 0.1% TWEEN 20, 0.05M citrate buffer pH 4.5. The reaction was stopped with H<sub>2</sub>SO<sub>4</sub> 2N and read at 490/630 nm. ELISA titers were calculated from a reference by SoftmaxPro (using a four parameters equation ) and expressed in EU/ml.

### *T cell proliferation*

- 25 2 weeks after the second immunisation, mice were killed, spleens were removed aseptically in pools. Cell suspensions were prepared in RPMI 1640 medium (GIBCO) containing 2 mM L-glutamine, antibiotics, 5x10<sup>-5</sup> M 2-mercaptoethanol, and 1% syngeneic normal mouse serum. Splenic cells were cultured at a final concentration of 2x10<sup>6</sup> cells/ml in 200 $\mu$ l in round-bottomed 96 wells-plates with different
- 30 concentrations (10-0.03  $\mu$ g/ml) of HBs antigen . Each test was carried out in quadruplicate. After 96 hr of culture at 37°C under 5% CO<sub>2</sub>, the cells were pulsed for 18hr with <sup>3</sup>H-Thymidine (Amersham, UK, 5Ci/mmol) at 0.5  $\mu$ Ci/well and then

harvested on Unifilter plates (Packard) with a cell harvester. Incorporated radioactivity was measured in a scintillation counter (Topcount, Packard). Results are expressed in cpm (mean cpm in quadruplicate wells) or as stimulation indices (mean cpm in cultures of cells with antigen / mean cpm in cultures of cells without antigen).

5

#### *Cytokine production*

2 weeks after the second immunisation, mice were killed, spleens were removed aseptically in pools (3 pools per group). Cell suspensions were prepared in RPMI 1640 medium (GIBCO) containing 2 mM L-glutamine, antibiotics,  $5 \times 10^{-5}$  M 2-mercaptoethanol, and 5% foetal calf serum. Cells were cultured at a final concentration of  $5 \times 10^6$  cells/ml in 1ml, in flat-bottomed 24 wells-plates with different concentrations (10-0.1  $\mu$ g/ml) of HBs antigen. Supernatants were harvested 96 hrs later and frozen until tested for the presence of IFN $\gamma$  and IL-5 by ELISA.

#### 15 *IFN $\gamma$ production*

Quantification of IFN $\gamma$  was performed by ELISA using reagents from Genzyme. Samples and antibody solutions were used at 50  $\mu$ l per well. 96-wells microtiter plates (Maxisorb Immuno-plate, Nunc, Denmark) were coated overnight at 4°C with 50  $\mu$ l of hamster anti-mouse IFN $\gamma$  diluted at 1.5  $\mu$ g/ml in carbonate buffer pH 9.5. Plates were then incubated for 1hr at 37°C with 100  $\mu$ l of PBS containing 1% bovine serum albumin and 0.1% TWEEN 20 (saturation buffer). Two-fold dilutions of supernatant from in vitro stimulation (starting at 1/2) in saturation buffer were added to the anti- IFN $\gamma$  -coated plates and incubated for 1 hr 30 at 37°C. The plates were washed 4 times with PBS TWEEN 0.1% (wash buffer) and biotin-conjugated goat anti-mouse IFN $\gamma$  diluted in saturation buffer at a final concentration of 0.5  $\mu$ g/ml was added to each well and incubated for 1 hr at 37°C. After a washing step, AMDEX conjugate (Amersham) diluted 1/10000 in saturation buffer was added for 30 min at 37°C. Plates were washed as above and incubated with 50  $\mu$ l of TMB (Biorad) for 10 min. The reaction was stopped with H $_2$ SO $_4$  0.4N and read at 450/630 nm. Concentrations were calculated using a standard curve (mouse IFN $\gamma$  standard) by SoftmaxPro (four parameters equation) and expressed in pg/ml.

*IL-5 production*

Quantification of IL-5 was performed by ELISA using reagents from Pharmingen. Samples and antibody solutions were used at 50  $\mu$ l per well. 96-wells microtiter plates (Maxisorb Immuno-plate, Nunc, Denmark) were coated overnight at 4°C with 50  $\mu$ l of rat anti-mouse IL-5 diluted at 1  $\mu$ g/ml in carbonate buffer pH 9.5. Plates were then incubated for 1 hr at 37°C with 100  $\mu$ l PBS containing 1 % bovine serum albumin and 0.1 % TWEEN 20 (saturation buffer). Two-fold dilutions of supernatant from in vitro stimulation (starting at 1/2) in saturation buffer were added to the anti-IFN $\gamma$ -coated plates and incubated for 1 hr 30 at 37°C. The plates were washed 4 times with PBS TWEEN 0.1 % (wash buffer) and biotin-conjugated rat anti-mouse IL-5 diluted in saturation buffer at a final concentration of 1  $\mu$ g/ml was added to each well and incubated for 1 hr at 37°C. After a washing step, AMDEX conjugate (Amersham) diluted 1/10000 in saturation buffer was added for 30 min at 37°C. Plates were washed as above and incubated with 50  $\mu$ l of TMB (Biorad) for 15 min. The reaction was stopped with H<sub>2</sub>SO<sub>4</sub> 0.4N and read at 450/630 nm. Concentrations were calculated using a standard curve (recombinant mouse IL-5) by SoftmaxPro (four parameters equation) and expressed in pg/ml.

**Example 2, Immunogenicity studies in mice**

In order to test the concept of MPL on a solid particulate carrier free of antigen, an immunogenicity study was performed in Balb/C mice using various sequences of formulations of HABMPL vaccines:

**Table 1, Vaccine formulations**

<b>Group</b>	<b>Formulation</b>
1	(HB-AlPO <sub>4</sub> ) + 3D-MPL + (HA-Al(OH) <sub>3</sub> )
2	(3D-MPL-Al(OH) <sub>3</sub> ) + (HA-Al(OH) <sub>3</sub> ) + (HB-AlPO <sub>4</sub> )
3	(3D-MPL-AlPO <sub>4</sub> ) + (HA-Al(OH) <sub>3</sub> ) + (HB-AlPO <sub>4</sub> )

Description of formulation process:



*Group 1*, The formulation process of the prior art. The antigen is first adsorbed onto the metallic salt followed by the addition of free 3D-MPL, resulting in the adsorption of the 3D-MPL onto the same particle of metallic salt as the antigen.

*Group 2 and 3*, The formulation process of the present invention. The 3D-MPL is adsorbed onto one particle of metallic salt, the antigens are adsorbed onto separate particles of metallic salt, followed by the admixture of the pre-adsorbed complexes.

#### *Immunisation scheme*

Groups of 10 mice were immunised subcutaneously twice at 4 weeks interval with HAB based formulations (1/10 Human Dose, i.e. HAV 72 ELU, HBs 2 µg, MPL 5 µg). On day 14 post II, lymphoproliferative response and cytokine production (IL5/IFNγ) were analysed after *in vitro* restimulation of splenic cells with HBs and HAV. Blood was taken from the retroorbital sinus on day 35 and antibody response to HBs and HAV as well as the isotypic profile induced (HBs only) were monitored by ELISA.

#### *Results*

Humoral responses (Ig and isotypes) were measured by ELISA using HBs as coating antigen for HBV and using the Behring kit for HAV. Only 14 days post II bleeding was analysed.

**Figure 1** shows anti-HBs Ig antibody responses measured on individual sera and represented as GMT.

**Figure 2** shows the isotypic repartition (IgG1, IgG2a and IgG2b) calculated from analysis on pooled sera .

No differences in antibody titers are observed between group 1 and the novel formulations (groups 2 and 3). Furthermore, the novel formulations (groups 2 and 3) stimulate similar proportions of IgG1 and IgG2a/b isotypes as those stimulated by the formulations of the prior art (group 1).

#### *Cell-mediated immune responses*

Cell-mediated immune responses (lymphoproliferation and IFN $\gamma$  / IL-5 production) were measured at 14 days post II after *in vitro* restimulation of splenic cells with HBs or HA antigens. For each group of mice, 5 animals were sacrificed and spleens pooled for *in vitro* testing.

5

**Figure 3** shows the lymphoproliferation monitored in splenic cells restimulated with HBs.

**Figure 4** shows the cytokine production monitored in splenic cells restimulated with HBs.

10

No differences lymphoproliferative responses can be observed between the formulations.

Strong IFN- $\gamma$  (+/- 1000 pg/ml) responses were observed with all groups, moreover,  
15 no difference in IL-5 production (below 60 pg/ml) is observed between the groups.

### *Conclusions*

No significant differences in humoral and cell-mediated immune responses to HBsAg were observed between the HABMPL formulation sequences.

## Claims,

1. An adjuvant composition an immunostimulant adsorbed onto a metallic salt particle, characterised in that the metallic salt particle is substantially free of other antigen.
- 5 2. An adjuvant composition composition as claimed in claim 1, wherein the metallic salt particle is a salt of aluminium, zinc, calcium, cerium, chromium, iron, or berilium.
3. An adjuvant composition composition as claimed in claims 1 or 2, wherein the metallic salt is a phosphate or hydroxide.
- 10 4. An adjuvant composition composition as claimed in any one of claims 1 to 3, wherein the metallic salt is aluminium hydroxide or aluminium phosphate.
5. An adjuvant composition as claimed in any one of claims 1 to 4, wherein the immunostimulant is monophosphoryl lipid A.
6. An adjuvant composition composition as claimed in claim 5, wherein the  
15 derivative of monophosphoryl lipid A is 3-de-O-acylated monophosphoryl lipid A.
7. An adjuvant composition as claimed in any one of claims 1 to 4, wherein the immunostimulant is QS21.
8. An adjuvant composition as claimed in any one of claims 1 to 4, wherein the immunostimulant is CpG.
- 20 6. A process for the manufacture of a vaccine composition comprising taking the adjuvant composition described in claim 1, followed by the addition of antigen.
7. A process for the manufacture of a vaccine composition comprising taking the adjuvant composition described in claim 1, followed by the addition of antigen, characterised in that the antigen is adsorbed onto a metallic salt particle.
- 25 8. A process as claimed in any one of claims 6 or 7, wherein the antigen is selected from the group comprising: Human Immunodeficiency Virus, Varicella Zoster virus, Herpes Simplex Virus type 1, Herpes Simplex virus type 2, Human cytomegalovirus, Dengue virus, Hepatitis A, B, C or E, Respiratory Syncytial virus, human papilloma virus, Influenza virus, Hib, Meningitis virus, Salmonella,  
30 Neisseria, Borrelia, Chlamydia, Bordetella, Plasmodium or Toxoplasma, stanworth decapeptide, Der p1, pollen related antigens; or Tumor associated antigens (TMA),

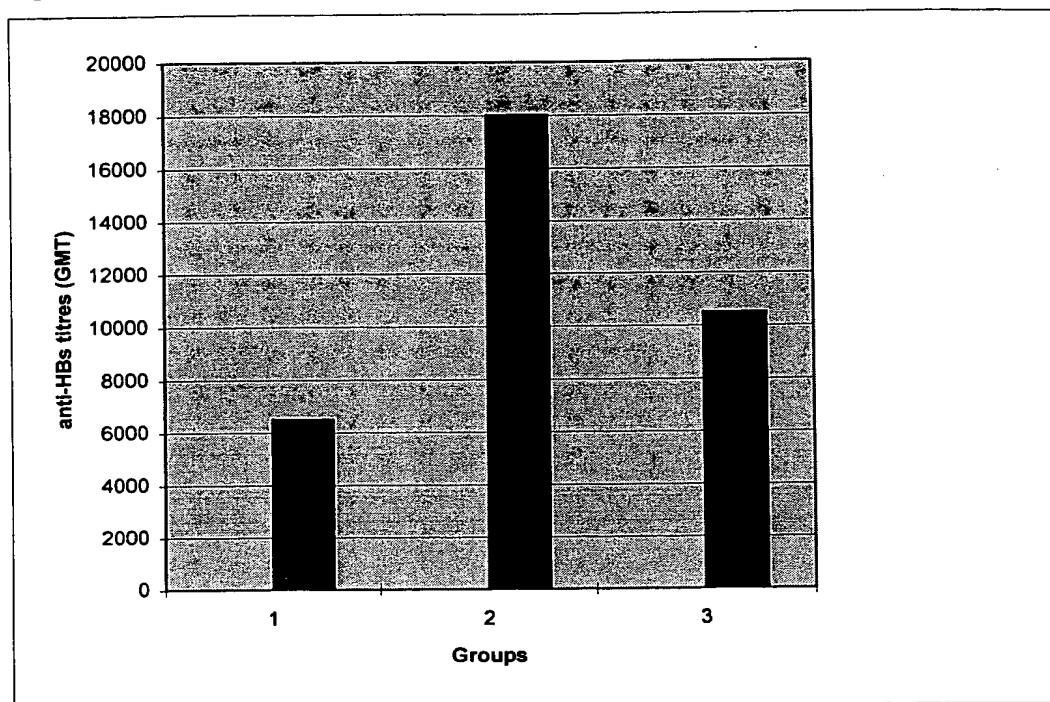
MAGE, BAGE, GAGE, MUC-1, Her-2 neu, LnRH(GnRH), CEA, PSA, KSA, or PRAME.

9. A vaccine composition comprising two major populations of complexes, a first complex comprising (a) an immunostimulant adsorbed onto a metallic salt particle, characterising in that said metallic salt particle is substantially free of antigen; and a second complex comprising (b) antigen adsorbed onto a metallic salt particle.
10. A vaccine composition comprising two major populations of complexes, a first complex comprising (a) an immunostimulant adsorbed onto a metallic salt particle, characterising in that said metallic salt particle is substantially free of antigen; and a second complex comprising (b) antigen adsorbed onto a metallic salt particle, characterised in that said metallic salt particle is substantially free of monophosphoryl lipid A, or derivative thereof.
11. A vaccine composition as claimed in claims 9 or 10, wherein the metallic salt present in the first and second complexes are identical.
12. A vaccine composition as claimed in any one of claims 9 to 11, wherein the second complex comprises a plurality of sub-complexes, each sub-complex comprising a different antigen adsorbed onto a metallic particle.
13. A vaccine composition as claimed in any one of claims 9 to 12, wherein the metallic salt is a salt of aluminium, zinc, calcium, cerium, chromium, iron, or berilium.
14. A vaccine composition as claimed in claim 13 wherein the metallic salt is a phosphate or hydroxide.
15. A vaccine composition as claimed in claim 14 wherein the metallic salt is aluminium hydroxide or aluminium phosphate.
16. A vaccine composition as claimed in any one of claims 9 to 15, wherein the immunostimulant is 3-de-O-acylated monophosphoryl lipid A.
17. A vaccine composition as claimed in any one of claims 9 to 15, wherein the immunostimulant is QS21.
18. A vaccine composition as claimed in any one of claims 9 to 15, wherein the immunostimulant is CpG.

19. A vaccine composition as claimed in any one of claims 9 to 18, wherein the antigen is selected from the group comprising: Human Immunodeficiency Virus, Varicella Zoster virus, Herpes Simplex Virus type 1, Herpes Simplex virus type 2, Human cytomegalovirus, Dengue virus, Hepatitis A, B, C or E, Respiratory
- 5 Syncytial virus, human papilloma virus, Influenza virus, Hib, Meningitis virus, Salmonella, Neisseria, Borrelia, Chlamydia, Bordetella, Plasmodium or Toxoplasma, stanworth decapeptide, Der p1, pollen related antigens; or cancer associated antigens, MAGE, BAGE, GAGE, MUC-1, Her-2 neu, LnRH(GnRH), CEA, PSA, tyrosinase, Survivin, KSA, or PRAME.
- 10 20. A vaccine composition as claimed in claim 21, wherein the antigen is a combination of Hepatitis A antigen and Hepatitis B antigen.
21. A vaccine composition as claimed in claim 19, wherein the plasmodium antigen is one or more antigens selected from the following group: RTS,S and TRAP.
- 15 22. A vaccine composition as claimed in claim 19 for use in medicine.
23. Use of vaccine composition as claimed in claim 19, for the manufacture of an immunotherapeutic treatment of viral, bacterial, parasitic infections, allergy, or cancer.
24. A method of treating a mammal suffering from or susceptible to a pathogenic
- 20 infection, or cancer, or allergy, comprising the administration of a safe and effective amount of a vaccine composition according to claim 19.
25. A kit comprising one container with one container having monophosphoryl lipid A, or derivative thereof, adsorbed onto a metallic salt; and a second container having antigen adsorbed onto a metallic salt.

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Figure 1 shows anti-HBs Ig antibody responses measured on individual sera and represented as GMT.

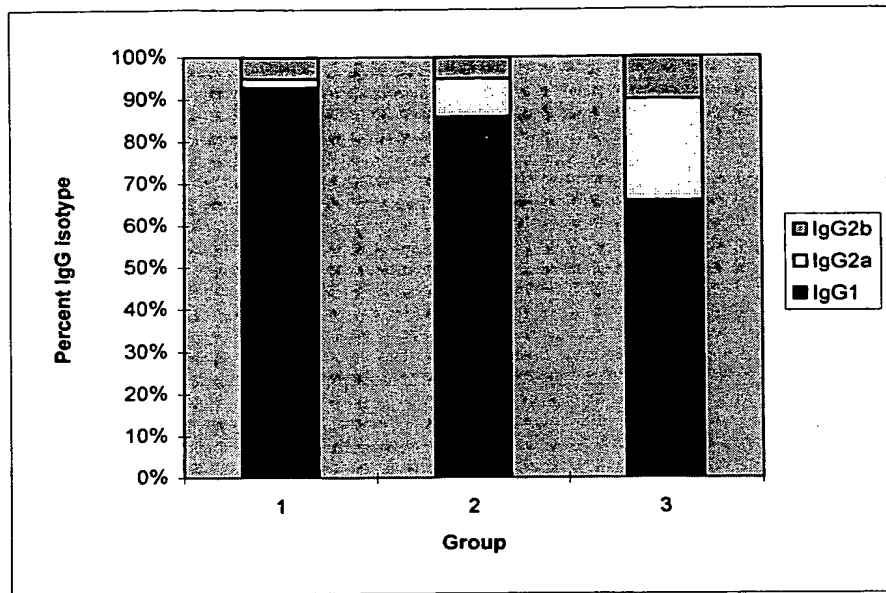


Group	ELISA Titres (GMT)
1	6495
2	18006
3	10496

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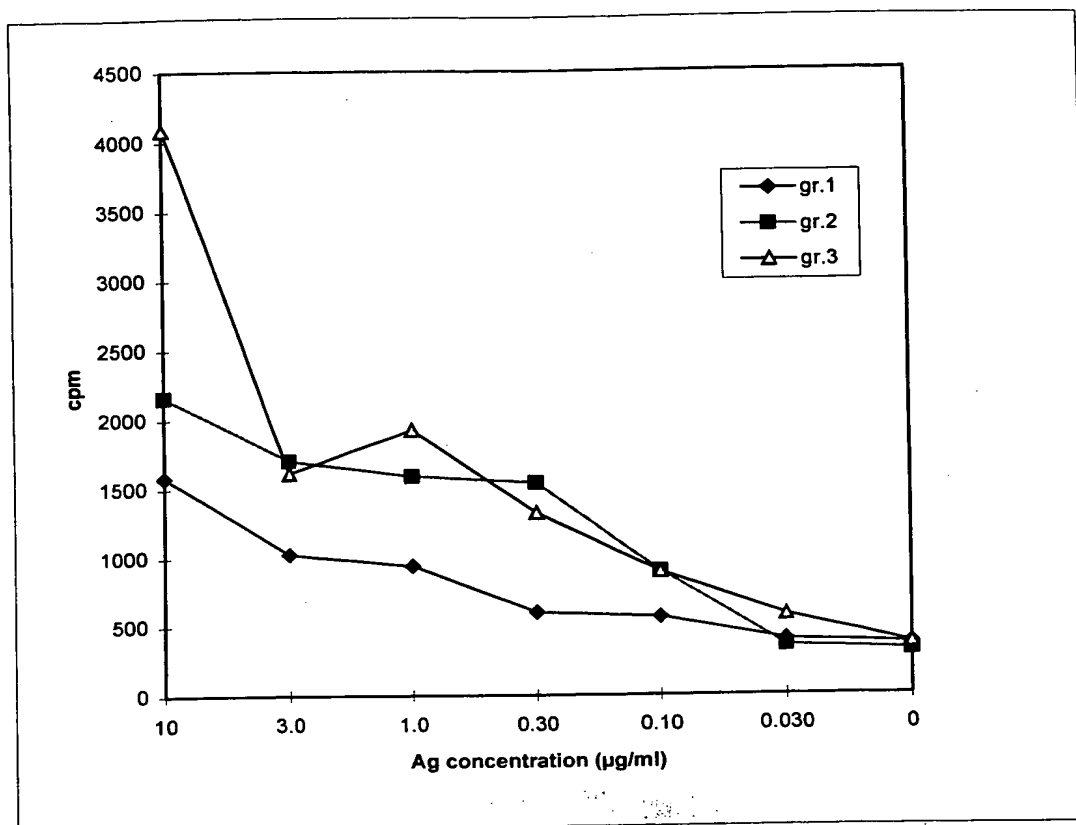
Figure 2 shows the isotypic repartition (IgG1, IgG2a and IgG2b) calculated from analysis on pooled sera .



Group	IgG1 %	IgG2a %	IgG2b %
1	93	2	5
2	86	9	5
3	66	24	10

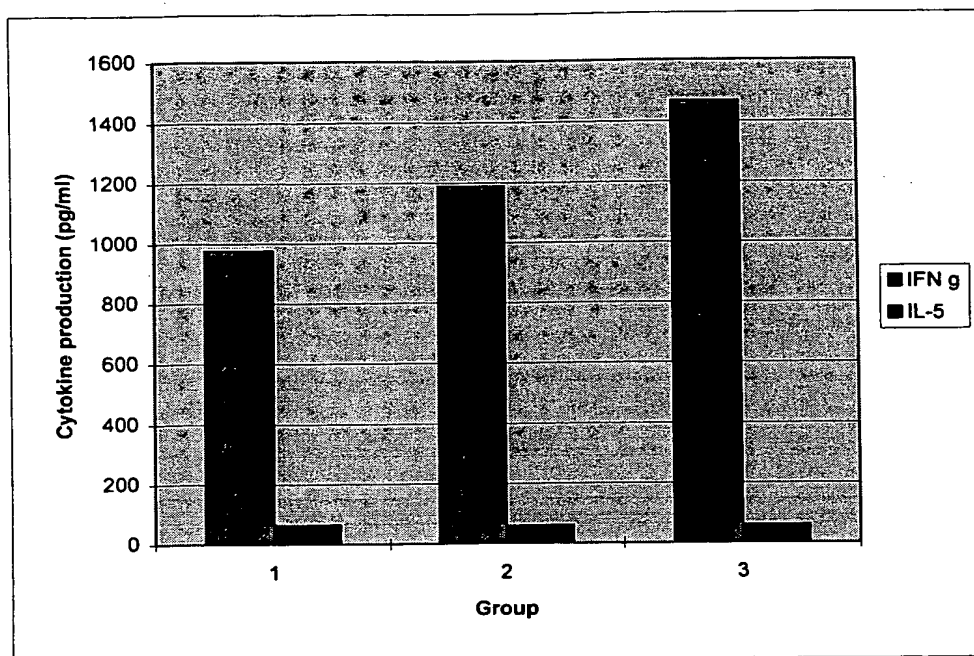
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Figure 3, shows the lymphoproliferation monitored in splenic cells restimulated with HBs.



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Figure 4 shows the cytokine production monitored in splenic cells restimulated with HBs.



Cytokine (pg/ml)		Gp 1	Gp 2	Gp 3
IFN g	HBsAg 10µg/ml	975	1187	1465
	Blank	157*	173*	173*
IL-5	HBsAg 10µg/ml	64*	59*	59*
	Blank	64*	59*	59*
RATIO IFNg/IL-5		15.2	20.1	24.8

\* = limit of quantification

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